

	<b>South-East Finland – Russia CBC 2014-2020 Programme Monitoring and Evaluation Plan - Indicative key elements</b>								
Tasks/stages	Tools to be utilised	07/2017-06/2018	07/2018-06/2019	07/2019-06/2020	07/2020-06/2021	07/2021-06/2022	07/2022-06/2023	07/2023-12/2023	Who is responsible?
Launching the rounds of the call, project selection and LIPs preparation	Risk assessments on programme level	Risk assessment / second round	Risk assessment / third and fourth rounds; Done	Risk assessment / fifth & sixth rounds	n/a	n/a	n/a		MA
	Checking the financial capacity of applicants	applications / second round	applications / third and fourth rounds; Done during AECs	applications / fifth & sixth rounds/AEC	n/a	n/a	n/a		MA
	Project based comments or requirements for contract negotiations	when relevant	when relevant	when relevant	when relevant, sixth round projects	when relevant, possible projects funded from the reserve list	n/a		MA JSC and/or JMC
Project contracting	Risk assessments on project level	n/a	41 contracted projects (31 standard + 9 LIP)	20 contracted standard projects (cumulatively: 51 standard + 9 LIP)	11 contracted standard projects (cumulatively: 62 standard + 9 LIP)	to be done, if projects funded from the reserve list	n/a		MA
	Risk assessment on programme level	n/a	Done 05/2019	Done 05/2020	Done 5/2021	Done 5/2022	once a year and upon need		MA
Project implementation	Day-to-day monitoring	ongoing	ongoing	ongoing	ongoing	ongoing	ongoing		MA
	Project reporting	n/a	updates from 20-30 projects	updates from 40 projects, additional project updated from 57 projects (Covid-19), interim reports from 31 projects	Updates from 21 projects; interim reports from 49 projects	Updates from 7 standard projects; Interim reports from 39 projects	Updates from 3 projects; interim reports from 5 standard projects		MA
	On-the-spot visits / site visits	n/a	n/a	Target: 13 on-the-spot visits / 17 site visits  Realisation: 0 on-the-spot visits / 0 site visits	C. baseline: 0 on-the-spot visits / 0 site visits  Target: 21 on-the spot visits / 13 site visits	C. baseline: 6  Target: 31	C. baseline: 20  Target: 17-51 (cumulatively 37-71) (depending on the projects' situation during FA suspension)		MA
	ROM monitoring	n/a	n/a	Target: 12 ROMs	C. baseline: 4 ROMs Target: 12 ROMs	C. baseline: 9 ROMs Target: 5 ROMs (cumulatively 12) 2 ROM reviews currently suspended/cancelled with RUS Lead Partner during FA suspension	C. baseline: 12 Target: Cumulative 12-15, depending on the situation of the projects, having a RUS Lead Partners, during FA suspension.		MA
	Expenditure verifications related to the reports	n/a	n/a	all projects which have submitted the interim report (see above)	all projects which have submitted the interim/final report (see above)	all projects which have submitted the interim/final report (see above)	all projects which have submitted the interim/final report (see above)		Project's auditors, MA
	Risk assessment on project level (action, specific contract or group of contracts)	n/a	In the last quarter of an implementation year	risk assessments done for 60 on-going projects after grant contract / project update / interim report	to be done for all projects after grant contract / project update / interim report	to be done for all projects after grant contract / project update / interim report	to be done for all projects after grant contract / project update / interim report		MA. NA
	Interim or Ex post evaluation of projects exceeding MEUR 5	n/a	n/a	n/a	n/a	3 LIPs (BCP Imatra, BCP Vaalimaa, BCP Vainikkala). Evaluation tendered with Karelia CBC & Kolarctic CBC, focus on the impact of the projects.	3 LIPs (BCP Imatra, BCP Vaalimaa, BCP Vainikkala). Evaluation reports from external evaluator.		MA/External evaluator
	Putting in place anti-fraud measures	n/a	in process; fraud risk analysis done 05/2019; anti-fraud self-assessment team initiated	1 <sup>st</sup> meeting of the anti-fraud self-assessment team	2 <sup>nd</sup> meeting of the anti-fraud self-assessment team	n/a	3rd meeting of the anti-fraud self-assessment team in spring 2023		
ROM/EC			draft report available	final report available					
Project closure	Final reporting from projects	n/a	n/a	n/a	n/a	Final reports from 25 projects	Final reports from 46 projects		MA

## MA Work Plan 2022-2023, Annex A

	External evaluations of projects	n/a	n/a	n/a	n/a	n/a	if available and relevant		External evaluators
Programme implementation	Risk assessment on programme level	n/a	once a year but preferably during every project selection round and upon need; Done 5/2019	once a year but preferably during every project selection round and upon need Done 5/2020	once a year and upon need Done 5/2021	Done 5/2022	once a year and upon need		MA
Follow-ups, audits and evaluations	Follow-up of indicators on programme level	Preliminary results after the second round of the call	Preliminary results after the third and fourth rounds of the call; interim summary related to the annual report	Preliminary results after the fifth round of the call; Monitored related to the annual report	Preliminary results after the sixth round of the call; Monitored related to annual report	Monitored related to annual report	Monitored related to annual report		MA
	Evaluation of the programme	n/a	Plans in 2019 to be realised in 2020			Mid-term evaluation (suspended)			External evaluators
	Audits	System audits (designation); Audit of TA 7-11/2018; Audit of accounts 12/2018-1/2019; Audit on projects n/a	System audits (organisational structure, managing authority functions, risk management, selection and contract management procedures) 2 - 6/2019; Audit of TA 7-11/2019; Audit of accounts 12/2019-1/2020; Audit on projects n/a	System audits (verification and payment procedures, drawing up accounts, management declaration and annual summary) 2-6/2020; Audit of TA 7-11/2020; Audit of accounts 12/2020-1/2021; Audit on projects 4-10/2020	System audits (follow-up); Audits of TA 7-11/2021; Audit of accounts 11/2021-1/2022; Audits on projects 4-10/2021	Audits on projects, Audits of TA, Audit of accounts	Audits on projects, Audits of TA, Audit of accounts		AA according the audit strategy
	Other					ECA Audit of territorial cooperation across the EU's external borders (Audit task 21CH3037): SEFRCBC was selected in the audit sample. Data collection, participating in interviews, comments to the preliminary report.	ECA Audit of territorial cooperation across the EU's external borders (Audit task 21CH3037): final report expected during the accounting year		